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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/22/2008

Jeffrey C. Hood
Meyertons, Hood, Kivlin, Kowert & Goetzel PC
P.O. Box 398
Austin, TX 78767

EXAMINER

TSAI, CAROL S W

ART UNIT

PAPER NUMBER

2857

DATE MAILED: 09/22/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/809,109

03/25/2004

John A. Pasquarette

5150-82600

6652

TITLE OF INVENTION: SWEEP MANAGER FOR SIGNAL ANALYSIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/22/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

09/22/2008

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Jeffrey C. Hood
Meyertons, Hood, Kivlin, Kowert & Goetzel PC
P.O. Box 398
Austin, TX 78767

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/809,109	03/25/2004	John A. Pasquarette	5150-82600	6652

TITLE OF INVENTION: SWEEP MANAGER FOR SIGNAL ANALYSIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	12/22/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
TSAL, CAROL S W	2857	702-189000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s); (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Austin, TX 78767

EXAMINER

TSAI, CAROL S W

ART UNIT

PAPER NUMBER

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DATE MAILED: 09/22/2008

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1063 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1063 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability	Application No.	Applicant(s)	
	10/809,109	PASQUARETTE ET AL.	
	Examiner	Art Unit	
	CAROL S. TSAI	2857	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 7/2/2008.
2. ☒ The allowed claim(s) is/are 1-8 and 10-42, now renumbered as 1-41.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892) | 5. <input type="checkbox"/> Notice of Informal Patent Application |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 6. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____ | 7. <input type="checkbox"/> Examiner's Amendment/Comment |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance |
| | 9. <input type="checkbox"/> Other _____. |

/Carol S Tsai/
Primary Examiner, Art Unit 2857

DETAILED ACTION

Allowable Subject Matter

1. Claims 1-8 and 10-42 are allowed.
2. The following is an examiner's statement of reasons for allowance:
3. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose a memory medium comprising program instructions for specifying a signal analysis function, comprising: receiving first user input indicating a parameter for a first operation, wherein the operation implements at least a portion of a signal analysis function; programmatically including the first operation in a sweep loop; receiving second user input specifying a sweep configuration for a sweep on the indicated parameter; performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep; and storing the resultant data for the sweep. However, Takano et al. do not teach said performing the sweep on the indicated parameter in accordance with the sweep configuration comprises: providing a next sweep value of the parameter to the first operation as a current value of the parameter for the first operation; performing the first operation using the current sweep value of the parameter, thereby generating corresponding resultant data; storing the corresponding resultant data; and repeating said providing a next sweep value, said performing the first operation using the current sweep value of the parameter, and said storing the corresponding resultant data in an iterative manner in accordance

with the sweep configuration; and including all of the other limitations in the respective independent claims.

4. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose a memory medium comprising program instructions for specifying a signal analysis function, comprising: receiving first user input indicating a parameter for a first operation, wherein the operation implements at least a portion of a signal analysis function; programmatically including the first operation in a sweep loop; receiving second user input specifying a sweep configuration for a sweep on the indicated parameter; performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep; wherein said performing the sweep on the indicated parameter in accordance with the sweep configuration comprises: iteratively performing the first operation, wherein at each iteration the first operation is performed using a respective value of the parameter, and wherein at each iteration corresponding resultant data are generated. However, Takano et al. do not teach performing the first operation comprising performing the first operation using a hardware device, and wherein said iteratively performing the first operation comprises triggering the hardware device at each iteration; and including all of the other limitations in the respective independent claims.

5. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose memory medium comprising program instructions for specifying a signal analysis function, comprising: receiving first

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user input indicating a parameter for a first operation, wherein the operation implements at least a portion of a signal analysis function, wherein the signal analysis function comprises a plurality of operations; programmatically including the first operation in a sweep loop; receiving second user input specifying a sweep configuration for a sweep on the indicated parameter; determining one or more other operations of the plurality of operations for inclusion in the sweep loop, wherein said determining the one or more other operations of the plurality of operations for inclusion in the sweep loop comprises: performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep, wherein said performing the sweep on the indicated parameter further comprises performing the one or more other operations; and storing the resultant data. However, Takano et al. do not teach receiving third user input indicating the one or more other operations of the plurality of operations for inclusion in the sweep loop; and programmatically including the one or more other operations in the sweep loop; and including all of the other limitations in the respective independent claims.

6. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose a memory medium comprising program instructions for specifying a signal analysis function, comprising: receiving first user input indicating a parameter for a first operation, wherein the operation implements at least a portion of a signal analysis function, wherein the signal analysis function comprises a plurality of operations; programmatically including the first operation in a sweep loop; determining one or more other operations of

the plurality of operations for inclusion in the sweep loop, wherein said determining the one or more other operations of the plurality of operations for inclusion in the sweep loop comprises: receiving second user input specifying a sweep configuration for a sweep on the indicated parameter; performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep; and storing the resultant data. However, Takano et al. do not teach programmatically analyzing dependencies among prior operations and the first operation to determine the one or more other operations, wherein the first operation has a dependency on at least one of the one or more other operations; and programmatically including the one or more other operations in the sweep loop; and including all of the other limitations in the respective independent claims.

7. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose a memory medium comprising program instructions for specifying a signal analysis function, comprising: displaying a Graphical User Interface (GUI) on a display; receiving first user input to the GUI indicating a parameter for a first operation, wherein the operation implements at least a portion of a signal analysis function; programmatically including the first operation in a sweep loop; receiving second user input to the GUI specifying a sweep configuration for a sweep on the indicated parameter; performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep; and storing the resultant data. However, Takano et al. do not teach the GUI further comprising a sweep

configuration dialog corresponding to the sweep configuration, wherein the configuration dialog includes one or more GUI elements indicating the sweep configuration; receiving third user input to the configuration dialog modifying the sweep configuration, thereby generating a modified sweep configuration; wherein said performing the sweep on the indicated parameter in accordance with the sweep configuration comprises performing the sweep on the indicated parameter in accordance with the modified sweep configuration; and including all of the other limitations in the respective independent claims.

8. U. S. Patent No. 4,839,583 to Takano et al. is the reference closest to the claimed invention. Takano et al. disclose a memory medium comprising program instructions for specifying a signal analysis function, comprising: displaying a Graphical User Interface (GUI) on a display, wherein the GUI is comprised in a signal analysis function development environment; receiving first user input to the GUI indicating a parameter for a first operation, programmatically including the first operation in a sweep loop; receiving second user input to the GUI specifying a sweep configuration for a sweep on the indicated parameter; performing the sweep on the indicated parameter in accordance with the sweep configuration, thereby generating resultant data for the sweep; and storing the resultant data. However, Takano et al. do not teach the operation implementing at least a portion of a signal analysis function, wherein the signal analysis operation comprises a plurality of operations, including the first operation, wherein each of the plurality of operations corresponds to a respective function block; displaying the corresponding function blocks for the plurality of operations in a diagram,

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wherein the diagram that visually represents I/O relationships between the function blocks;; and including all of the other limitations in the respective independent claims.

9. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Contact Information

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CAROL S. TSAI whose telephone number is (571)272-2224. The examiner can normally be reached on M-F (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ramos-Feliciano S. Eliseo can be reached on (571) 272-7925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

September 10, 2008

Art Unit 2857

/Carol S Tsai/

Primary Examiner, Art Unit 2857